



PATENT
UMD 1-037CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application Of:
Kiran K. Chada et al.

Group Art Unit: Unknown

Serial No.: 08/852,666

Examiner: Unknown

Filed: 7 May 1997

For: **HMGI PROTEINS IN CANCER AND OBESITY**

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
PURSUANT TO 37 C.F.R. SECTIONS 1.9(e) AND 1.27 (b)
NONPROFIT ORGANIZATION**

On behalf of the assignee of the entire interest in the above-identified patent application, I hereby declare that the University of Medicine & Dentistry of New Jersey qualifies as a nonprofit organization as defined in 37 C.F.R. Section 1.9(e) for purposes of paying reduced fees under 35 United States Code Sections 41(a) and (b) to the United States Patent and Trademark Office in connection with the invention entitled:

HMGI PROTEINS IN CANCER AND OBESITY

described in:

_____ the specification filed herewith.

 X patent application serial number 08/852,666, filed on 7 May 1997.

_____ United States patent no. _____, issued on _____.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an nonprofit organization under

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. SECTION 1.8

I hereby certify that this correspondence is being deposited, pursuant to 37 C.F.R. Section 1.8, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this 27 of Oct, 1997.

By

Richard R. Muccino 27 Oct 97

Richard R. Muccino

date

Reg. No. 32,538

37 C.F.R. Section 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. Section 1.9(d) or a nonprofit organization under 37 C.F.R. Section 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

 X no such person, concern, or organization.

 persons, concerns or organizations listed below.

FULL NAME

ADDRESS

 individual small business nonprofit organization

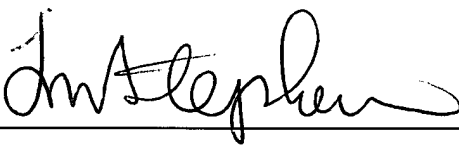
I acknowledge the duty pursuant to 37 C.F.R. Section 1.28(b) to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I am authorized to execute this document on behalf of the assignee, the University of Medicine & Dentistry of New Jersey. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

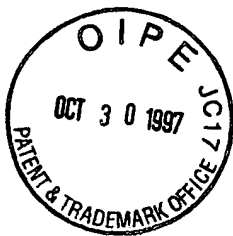
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Attorney Docket No. UMD 1.0-037CIP

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

(Patent, Design or CIP Application)

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HMGI PROTEINS IN CANCER AND OBESITY

the specification of which:

(check one)

_____ is attached hereto.

X was filed on 7 May 1997 as

Application Serial No. 08/852,666

and was amended on _____
(if applicable)

_____ was described and claimed in
International Appln. No. _____,
filed on _____,
and was amended on _____
(if applicable)

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. SECTION 1.8

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited, pursuant to 37 C.F.R. Section 1.8, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this 27th of October, 1997.

By Richard R. Muccino 27 Oct 97
Richard R. Muccino date
Reg. No. 32,538

Honorable Commissioner of Patents and Trademarks

7 May 1997

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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Application Number	Country	Filing Date	Priority Claimed

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status (Patented, Pending, Abandoned)
08/697,529	12 July 1996	

7 May 1997

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s), to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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7 May 1997

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Title 37, Code of Federal Regulations, Section 1.56(a)

A duty of candor and good faith toward the Patent and Trademark Office rests on the inventor, on each attorney or agent who prepares or prosecutes the application and on every other individual who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application. All such individuals have a duty to disclose to the Office information they are aware of which is material to the examination of the application. Such information is material where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent. The duty is commensurate with the degree of involvement in the preparation or prosecution of the application.